

CORPORATE DEPOSIT (For Companies, Co-operative Societies, Statutory Boards, Local Authorities, Banks and Financial Institutions)

BAJAJ FINANCE LIMITED



PLEASE USE BLOCK LETTERS AND TICK (✓) IN APPROPRIATE PLACES

Customer ID

Date Application Form No.:

FOR OFFICE USE: Broker Code: **26373** Scheme Code:

Company Details

Name

Date of Incorporation Company Identification Number

PAN Mobile Landline S T D PHONE NUMBER

Correspondence Address

Registered Address

Email ID

Type of entity: Statutory Board ☐ Local Authority ☐ Co. registered u/s 8 of Companies Act 2013 or u/s 25 of Companies Act 1956 ☐
Banks and Financial Institutions ☐ Cooperative society registered under the Cooperative Societies Act ☐
Other companies ☐ Others ☐

Authorized Signatories

1) Name

MOBILE Email ID Residential Status: Resident ☐ NRI ☐

Director Identification No. / Designated Partner Identification No

2) Name

MOBILE Email ID Residential Status: Resident ☐ NRI ☐

Director Identification No. / Designated Partner Identification No

3) Name

MOBILE Email ID Residential Status: Resident ☐ NRI ☐

Director Identification No. / Designated Partner Identification No

Investment Details

Investment Fresh ☐ Renewal ☐ If Renewal, existing Deposit No. Maturity Date of existing deposit

Fresh Deposit Amount Numeric Rs. Words

Deposit Term in months OR Deposit term in days OR Desired maturity date

Deposit Option Cumulative ☐ Non-cumulative ☐ Rate of Interest

Note: Non cumulative option allowed only for deposits greater than or equal to 12 months tenure. Interest payment frequency will be only annually

Payment Details

Payment details (Account payee cheque to be drawn in favour of "Bajaj Finance Ltd. Account Number 00070350011157")

Cheque No. Bank Name Bank Account Number

Account Type Branch IFS Code

UTR/Reference No. (Applicable for deposit amount electronically transferred through RTGS/NEFT)

RTGS Details: Account Name: BAJAJ FINANCE LIMITED Account No.: 00070350011157 (Corporate Deposit)
Bank Name: HDFC BANK LTD Branch Name: BHANDARKAR ROAD BRANCH, PUNE IFS Code: HDFC0000007

KYC Details

- Customer is required to submit certified true copy of the following documents to comply with KYC requirements:
1. Certificate of incorporation/registration and Memorandum & Articles of Association is required. For partnerships/LLP, partnership deed is required. For Statutory bodies, a certified copy of the permission granted by the Government authority/respective Ministry is required.
 2. Board resolution/resolution passed by institutions/societies permitting investment, opening a deposit and claiming the maturity proceeds.
 3. PAN card of the company
 4. Latest telephone/electricity bill or bank account statement and a cancelled cheque
 5. An officially valid document (PAN, passport, driving license, Voter's identity card) identifying the persons authorized for such transactions and their addresses. In case such person is a director, then Directors Identification no. is mandatory above
 6. BO declaration is required to be filled by every non-individual.
 7. For entities other than companies, any document to establish the legal existence of such an entity/ juridical person.

Customer's Consent and Confirmation

I/We confirm and agree that:

- All particulars, information and details provided above together with documents submitted to Bajaj Finance Limited ("BFL") are true, correct and up to date and I/We am/are obliged to keep BFL immediately updated of any change in the information provided by me in this Application Form. I/we hereby agree to provide all other documents and information, in such form and manner, as may be prescribed by BFL in relation to this application. I/We confirm that BFL is under no obligation to verify the information, details and/or documents submitted hereunder and BFL shall not be liable for any loss suffered by any person due to reliance on such information, details and/or documents.
- I/We confirm that I/We have read and understood the detailed Terms and Conditions annexed to this Application ("Terms") including the interest rate and other charges, the financials and other statements/particulars/representations furnished by BFL and the applicant shall be bound by the said Terms including any additions/amendments therein from time to time.
- The amount placed under deposit is received through legitimate sources and/or is not designed for the purpose of any contravention or evasion of the provisions of any applicable laws including but not limited to the Prevention of Money Laundering Act, 2002 and any rules, regulations, notifications, guidelines or directions thereunder, as amended from time to time.
- I/We agree that any and all information provided by me/us in this application, all deposit(s) held by me/us with BFL may be disclosed by BFL to any statutory/regulatory/judicial authorities as and when required and to provide any additional document and/or information as may be prescribed by BFL/said authorities in relation to this Application.

Signature of all Authorized Signatories along with stamp of the applicant organization:

First Second Third

TERMS AND CONDITIONS

- These Terms and Conditions together with terms contained in the application form and Deposit Receipt shall apply to and govern the Corporate Deposit(s) ("Deposit"), (collectively, the "Terms"). By submitting the application form to Bajaj Finance Limited ("BFL") for opening a Deposit Account, the deposit holder confirms that it will be bound by these terms and conditions.
- Deposits will not be accepted in cash. BFL reserves the right to accept or reject any application received for opening or placing the Deposit ("Application") without assigning any reason whatsoever. The amount in relation to such rejected Application will not earn any interest and the said amount will be refunded as is within 24 hours except in case of failure on applicant's part to submit documents in relation to the Application, as required by BFL.
- The entities incorporated/registered/constituted in India and carrying business in India are eligible for placing Deposit with BFL.
- For placing the Deposit, the applicant shall submit to the satisfaction of BFL, the application form along with all documents detailed in the application form or such other documents prescribed by BFL from time to time.
- The minimum deposit amount is Rs.25000/-.
- The applicant may transfer funds to BFL through a Real Time Gross Settlement (RTGS) transaction or a cheque, as per the details provided below:

Investment in Corporate deposit

Bank account details for remittance of funds through RTGS:

Beneficiary name: BAJAJ FINANCE LIMITED
IFSC code: HDFC0000007
Account number: 00070350011157
Bank name and branch: HDFC BANK LTD, BHANDARKAR ROAD BRANCH, PUNE

Cheque Details:

CTS compliant Account Payee Cheque drawn in the name of "BAJAJ FINANCE LIMITED - ACCOUNT NUMBER 00070350011157".

- Interest will be calculated from date of realization of cheque/receipt of RTGS funds towards the Deposit.
- Interest will be paid on the following dates:
 - In case of non-cumulative Deposits, the interest will be paid on March 31st
 - In case of cumulative Deposits, the interest will be paid on or after the date of maturity of the Deposit
- Interest for part period (i.e. other than complete year) under non-cumulative Deposit schemes will be calculated from the date of deposit till the last day of the immediate next interest payment date. If a Deposit is made within a period of 30 days prior to any of the interest payment date, the interest for the part period will be paid on the next interest payment date.
- Interest (net of tax deducted at source, where applicable) will be paid through electronic payment instruction only, to the bank account of the depositor as mentioned in the application form, or such other bank account as intimated to BFL from time to time, jointly by all authorised signatories, in writing. If, electronic payment instruction facility is not available, interest shall be paid by "Account Payee" cheque drawn in favour of the depositor.
- Income-tax, wherever applicable, will be deducted at source from interest in accordance with Section 194-A of the Income Tax Act, 1961.
- Deposit holder may avail Loan against the Deposit subject to fulfilment by depositor(s) of the eligibility criteria, execution of applicable documents and other conditions, as may be prescribed by BFL in this regard.
- BFL shall have the first and paramount right of lien and set off, irrespective of any other lien or charge, present as well as future, on all deposits of the depositor with BFL to the extent of any outstanding dues payable by the depositor to BFL.
- BFL will make repayment of the Deposit on the date of maturity of such Deposit, as per the bank account details provided in the application form, through NEFT/RTGS payment mode only. In case the date of maturity happens to be a non-working/ Non-Banking day the maturity payout will be done on the previous working/banking day. Interest payable would be only up to the day maturity proceeds are paid out. For renewal and repayment of the Deposit, a duly discharged Deposit Receipt must be surrendered to BFL at least two days prior to the date of maturity of the Deposit. For renewal of the Deposit, a fresh application form will be required to be submitted along with the discharge Deposit Receipt. Renewal of the Deposit will be subject to the rate of interest and other terms & conditions prevailing on the date of such renewal.
- Request for premature withdrawal may be permitted at the sole discretion of BFL and penalties will be as per the terms of the deposit. Income tax wherever applicable and deducted at source and remitted to the applicable tax authority by the Company on behalf of the depositor, before premature withdrawal of Deposit(s), shall not be refunded in any circumstance whatsoever.
- Restriction:** Deposit(s) is/are not transferable and non-assignable. Third party lien on deposit is NOT permitted under any circumstance except in favour of the holding/subsidiary and /or Group Companies of BFL.
- By submitting this Application, I/we hereby expressly consent and authorise BFL/ its representatives/its agents/ its business partners/its group companies/its affiliates to send me any communication regarding products/services offered by them using various communication channels, such as, telephone, calls/SMS/bitly/bots/emails/post etc, irrespective of rejection of my/our Application.
- Any change in the details provided in the application form shall be carried out only upon receipt of written instructions from the authorized signatory or from registered email ID of the depositor.
- Any oral communication, representation or assurances made to you by any person with regard to the Financial Products and Services, whether on behalf of BFL or in their independent capacity, are not to be relied upon unless the same is captured in the documents in writing.
- The Terms shall be governed by and construed in accordance with the laws of India. All matters arising out of these Terms and the Deposit shall be subject to the exclusive jurisdiction of the courts or tribunals (as the case may be) at Pune, India.
- In case of any query, please get in touch with the Customer Care at wecare@bajajfinserv.in.

Provisional Receipt

Application Number _____

Received from _____ Cheque No. _____ for INR _____
dated _____ drawn on _____ Bank _____ Branch _____
towards _____ Scheme for a period of _____ months at _____ % interest per annum.
Reference No. _____

For BAJAJ FINANCE LTD

*This Provisional Receipt is valid only till the issuance of the Fixed Deposit Receipt

Bajaj Finance Ltd

Registered Address: Old Mumbai-Pune Road, Akurdi, Pune - 411035.

Corporate Office: 4th Floor, Bajaj Finserv Corporate Office, Off Pune Ahmednagar Road, Viman Nagar, Pune - 411014.

Phone: 020 3957 5152 | Email: wecare@bajajfinserv.in | CIN: L65910MH1987PLC042961

Application Form No.:

FATCA/CRS Details for non-Individual Applicants (mandatory)

Name of the entity

Type of address given at KYC: Residential or Business Residential Business Registered Office

Note: "Address of tax residence would be taken as available in KRA (KYC Registration Agency) database. In case of any change, please approach KRA & notify the changes"

PAN Date of incorporation:

City of incorporation Country of incorporation

Entity Constitution Type: Partnership Firm HUF Society AOP/BOI Trust Liquidator Company Limited Liability Partnership Artificial Juridical Person Others specify

Please tick the applicable tax resident declaration -

Is "Entity" a tax resident of any country other than India? Yes No

If 'yes', please fill below details for all countries (other than India) in which the entity is a resident for tax purposes as well as Ultimate Beneficiary Ownership (UBO) declaration on the next page

Country of tax residency	Tax Identification number or functional equivalent	Identification type (TIN or other, please specify)*

*If TIN is not available please tick reason A, B or C Reason A - The country where applicant is liable to pay taxes does not issue Tax Identification number to its residents Reason B - No TIN required (Select this only if the authorities of the respective country of tax residence do not require TIN to be collected) Reason C - Any other reason

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

FATCA & CRS Declaration (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

In case the Entity Country of Incorporation/Tax residence is US but entity is not specified US person mention entity exemption code Entity exemption code are provided below in table which can be enclosed with application form for ease of customer to mention the exemption code same.

Code	Sub category	Code	Sub category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)	G	A real estate investment trust
B	The United States or any of its agencies or instrumentalities	H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities	I	A common trust fund as defined in section 584(a)
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)	J	A bank as defined in section 581
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)	K	A broker
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state	L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
		M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

PART A [to be filled by Financial Institutions or Direct Reporting Non-Financial Entities (NFEs)]

We are a:
Financial institution
OR
Direct reporting NFE

GIIN (consists of 19 characters)

GIIN is applied but not yet issued
Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below
Name of sponsoring entity

If GIIN not available and If the entity is a financial institution(tick whichever is applicable): Not required to apply for Not obtained - Non-participating FI

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1.	Is the Entity an active NFE	Yes (If yes, please fill UBO declaration in the next section.) No Nature of Business
2.	Is the Entity a passive NFE	Yes (If yes, please fill UBO declaration in the next section.) No Nature of Business

Ultimate Beneficiary Ownership (UBO) declaration (to be filled by a non-individual tax resident of any country other than India)

Name of the entity

Entity Constitution Type: Company ☐ LLP ☐ Cooperative society registered under the Cooperative Societies Act ☐

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s):

1.	Name of Beneficial owner / Controlling person..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Tax ID Type (TIN or Other, please specify)..... Beneficial Interest %..... Address type: Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/>	Address - Include State, Country, PIN / ZIP Code & Contact Details:
2.	Name of Beneficial owner / Controlling person..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Tax ID Type (TIN or Other, please specify)..... Beneficial Interest %..... Address type: Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/>	Address - Include State, Country, PIN / ZIP Code & Contact Details:
3.	Name of Beneficial owner / Controlling person..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Tax ID Type (TIN or Other, please specify)..... Beneficial Interest %..... Address type: Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/>	Address - Include State, Country, PIN / ZIP Code & Contact Details:

If passive NFE, please provide below additional details
(to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India):

1.	PAN, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Occupation Type - Service, Business, Others..... Nationality..... Father's Name	Date of birth..... Gender: Male <input type="checkbox"/> Female <input type="checkbox"/> Other <input type="checkbox"/>
2.	PAN, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Occupation Type - Service, Business, Others..... Nationality..... Father's Name	Date of birth..... Gender: Male <input type="checkbox"/> Female <input type="checkbox"/> Other <input type="checkbox"/>
3.	PAN, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others..... Country of Tax residency..... Tax ID No. - Or functional equivalent for each country.....	Occupation Type - Service, Business, Others..... Nationality..... Father's Name	Date of birth..... Gender: Male <input type="checkbox"/> Female <input type="checkbox"/> Other <input type="checkbox"/>

Certification:

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Declaration:

I/ we understand that my/our purchase from the Company may create legal, tax or other financial/ reporting obligations for me/us under the laws of the country of which I/we hold citizenship or reside in. I/we shall be solely responsible for undertaking and fulfilling any obligations that I/we may have under the laws of such country/ies and shall not hold the Company liable under any circumstance in the event of a default on my/our part in fulfilling the said obligations. I/ We agree that, as may be required by domestic regulators/ tax authorities the Company may also be required to report, reportable details to CBDT or close or suspend my/ our applications/ contracts.

Signature of
first authorized signatorySignature of
second authorized signatorySignature of
third authorized signatory

Beneficial Ownership Declaration

Date:

D	D	M	M	Y	Y	Y	Y
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To,
The Branch Manager,
_____ Branch,
Bajaj Finance Ltd.

Deposit ID (for existing customers) _____ Customer ID (for existing customers) _____

In case the Applicant is listed on any stock exchange or is a subsidiary of an entity, which is listed on a stock exchange, please fill only the below highlighted section, otherwise mentioned complete details in Section I (i) and Section I (ii), as applicable

[illegible]

I / We the undersigned hereby declare that our company/ holding company is listed on _____ Stock Exchange.
Name of the Holding Company, M/s _____

In case of Any Entity Other Than a Listed Company, Section – I, and if applicable, Section – II to be ticked and filled in.

Section – I (i)

I / We the undersigned hereby declare that following persons own 25% (in case of Companies) / 15% (in other cases) or more interest in the captioned entity. (Refer Notes - A)

Sr. No.	Name of Shareholders / Beneficial Owners / Partners / Trustees etc.	Address of Share-holders / Beneficial Owners / Partners / Trustees etc.	Beneficial Owner Type (Refer Note C)	Date of: Birth / Registration / Incorporation	PAN / Registration No.	Nationality	% of Shareholding / Profits / Interest / Ownership in the Entity
1.							
2.							
3.							
4.							

Please use additional sheet in case of more information to disclose

Section – I (ii)

Applicable only if there is / are non-individual shareholder(s) / partners etc. having controlling ownership interest in excess of the prescribed threshold as declared in **Section - I (i)**

Following persons(s), have controlling ownership interest in Beneficial Owner of the Entity as declared in **Section - I (i)** above.

Sr. No.	Name of Shareholders / Beneficial Owners / Partners / Trustees etc.	Address of Share-holders / Beneficial Owners / Partners / Trustees etc.	Beneficial Owner Type (Refer Note C)	Date of: Birth / Registration / Incorporation	PAN / Registration No.	Nationality	% of Shareholding / Profits / Interest / Ownership in the Entity
1.							
2.							
3.							
4.							

Please use additional sheet in case of more information to disclose

OR

Section – II

Applicable, if no person has controlling ownership in excess of the prescribed threshold as declared in **Section – I.**

 I / We declare that no person / entity holds controlling ownership in the captioned company in excess of the threshold limit prescribed below.

Authorised Signatory (ies) (i) _____ Signature _____

Authorised Signatory (ies) (ii) _____ Signature _____

Authorised Signatory (ies) (ii) _____ Signature _____

(Refer note D for Signature requirement)

A. As per the Prevention of Money laundering (Maintenance of Records) Rules, 2005,

The beneficial owner for the purpose of sub-rule (1) shall be determined as under –

- (a) Where the client is a **Company**, the beneficial owner is the **natural person(s)**, who, whether acting alone or together, or through one or more juridical person, **has a controlling ownership interest** or who **exercises control through other means**. Explanation - For the purpose of this sub-clause-
"Controlling ownership interest" means **ownership of or entitlement to more than 25% of shares or capital or profits** of the company;
"Control" shall include the **right to appoint majority of the directors or to control the management or policy decisions** including by virtue of their shareholding or management rights or shareholders' agreements or voting agreements;
- (b) Where the client is a **Partnership firm**, the beneficial owner is the **natural person(s)**, who, whether acting alone or together, or through one or more juridical person, has **ownership of / entitlement to more than 15% of capital or profits** of the partnership;
- (c) Where the client is an **Unincorporated Association or Body of Individuals**, the beneficial owner is the **natural person(s)**, who, whether acting alone or together, or through one or more juridical person, has **ownership of or entitlement to more than 15% of the property or capital or profits** of such association or body of individuals;
- (d) Where **no natural person is identified under (a) or (b) or (c) above**, the beneficial owner is the **relevant natural person who holds the position of senior managing official**;
- (e) Where the client is a **Trust**, the identification of beneficial owner(s) shall include **identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership**; and
- (f) Where the client or the owner of the controlling interest is a **Company listed on a stock exchange, or is a subsidiary of such a company**, it is **NOT necessary to identify** and verify the identity of any shareholder or beneficial owner of such companies.

B. Beneficial Ownership Declaration is NOT required in following cases:

Individual accounts, Sole Proprietorship accounts, Government Departments, Public Sector Undertaking, Local Government Bodies (Municipal Corporation, Gram Panchayats etc.), Company listed on a recognised stock exchange. Majority owned subsidiary of a Company listed on a recognized stock exchange.

C. Beneficial Ownership Declaration to provide details of following

Partnership Firm / LLP: natural persons / partners,	Club: Members / Authorised Signatories / Beneficiary
Trust: Trustees / Settlor / Protector / Beneficiary	Association of Persons: Members / Auth. Signatories / Beneficiary
Foundation: Founder managers/Directors / Beneficiary	Pvt. Ltd companies and Unlisted Public Ltd Companies: Shareholder / Directors / Other Beneficiaries
Society: Members/Authorised Signatories / Beneficiary	In case of Foreign Entity: Shareholder/Director/Other Beneficiaries

D. Signature on Declaration form

- In case of Partnership Firm/LLP/Trust/Foundation/Society/Club: Authorised Signatories as per Mode of Operations should sign.
- In case of association: All Authorised Signatories should sign.
- In case of Pvt. Ltd companies and Unlisted Public Ltd Companies: Any two Directors or Company Secretary should sign.
- In case of Foreign Entity: All Authorized Signatories should sign.

B. Beneficial Ownership Declaration is NOT required in following cases:

Individual accounts, Sole Proprietorship accounts, Government Departments, Public Sector Undertaking, Local Government Bodies (Municipal Corporation, Gram Panchayats etc.), Company listed on a recognised stock exchange. Majority owned subsidiary of a Company listed on a recognized stock exchange.

E. KYC Documents of Beneficial Owners should be submitted as per the OVD under PMLA and RBI Guidelines.